

# Investor Application Joint



## PERSON 1: Personal Details

First name:	Middle name:	Surname:
Date of Birth: D <input type="text"/> <input type="text"/> M <input type="text"/> <input type="text"/> Y <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Preferred Name (optional):	
IRD Number: <input type="text"/> <input type="text"/> <input type="text"/>   <input type="text"/> <input type="text"/> <input type="text"/>   <input type="text"/> <input type="text"/> <input type="text"/>		

## Contact Details

Email address:	Phone:	Mobile	Landline
Address:	Suburb:		
City:	Country:	Postcode:	

## Residency

Are you a NZ Citizen or NZ Permanent Resident?	<input type="checkbox"/> NZ Citizen	<input type="checkbox"/> NZ Permanent Resident
If not, what is your country of birth?	If you are not an NZ Citizen or NZ Permanent Resident, please provide a copy of your visa	
Are you a tax resident of another country?	<input type="checkbox"/> No	<input type="checkbox"/> Yes - You have an obligation to pay tax in another country
If yes, what country are you a tax resident of?	Tax Identification Number (TIN):	

## PERSON 2: Personal Details

First name:	Middle name:	Surname:
Date of Birth: D <input type="text"/> <input type="text"/> M <input type="text"/> <input type="text"/> Y <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Preferred Name (optional):	
IRD Number: <input type="text"/> <input type="text"/> <input type="text"/>   <input type="text"/> <input type="text"/> <input type="text"/>   <input type="text"/> <input type="text"/> <input type="text"/>		

## Contact Details

Email address:	Phone:	Mobile	Landline
Address:	Suburb:		
City:	Country:	Postcode:	

## Residency

Are you a NZ Citizen or NZ Permanent Resident?	<input type="checkbox"/> NZ Citizen	<input type="checkbox"/> NZ Permanent Resident
If not, what is your country of birth?	If you are not an NZ Citizen or NZ Permanent Resident, please provide a copy of your visa	
Are you a tax resident of another country?	<input type="checkbox"/> No	<input type="checkbox"/> Yes - You have an obligation to pay tax in another country
If yes, what country are you a tax resident of?	Tax Identification Number (TIN):	

# Investor Application – Joint

## JOINT DETAILS:

### Tax & Banking Details

Tax Deduction Rate (Please tick):  10.5%  17.5%  30%  33%  39%  Non-resident  Exempt

Bank Account Name: \_\_\_\_\_

Bank Account Number:  |  |  |

Documents required: Proof of bank account displaying bank logo, bank account name and bank account number.

### Financial Declaration

Southern Cross Partners is a reporting entity under the Anti-Money Laundering and Countering Financing of Terrorism (AML/CFT) Act 2009. The following questions are designed to assist us with our compliance obligations. More information about the Act can be found at <http://www.legislation.govt.nz/act/public/2009/0035/latest/DLM2140720.htm>

Purpose of Account:  Savings  Investment  Retirement  Other (please specify) \_\_\_\_\_

Have you participated in this type of investment in the past?  No  Yes

Values below are to include the total value of ALL assets held by the investor:

Approx value of all investments/cash: \$

Approx value of all property: \$

Approx value of all other assets: \$

How have you mainly acquired these assets? (tick all that apply)

- Salary/Wage
  Sale of Investment Property
  Sale of home
  Interest earned on investments/savings  
 Business earnings
  Dividends from shares
  Inheritance
  Other (please specify) \_\_\_\_\_

Please note: We may contact you if we seek further clarification

Where did you hear about us? (tick all that apply)

- Internet Search
  Social Media
  Newspaper
  Investor Event  
 Current Investor
  Radio
  [interest.co.nz](http://interest.co.nz)
 Other (please specify) \_\_\_\_\_

# Investor Application – Joint

## Identity & Address Verification

The AML/CFT Act 2009 came into effect on 30 June 2013. Southern Cross Financial is legally required to confirm the identity of its customers and any person acting on behalf of the customer.

We have your permission to complete verification in relation to your identity and personal information, by whatever manner is most appropriate to our satisfaction. Southern Cross Partners prefers to confirm your identity biometrically via a text to your mobile device. Please note: ID needs to be a current and original Passport or Drivers Licence (NZ & Australian only).

Yes, we request Southern Cross Partners to send us a text message to verify my identity and address.

Please contact us if this is not suitable for you.

## Signatures

By signing this application form we confirm that we have read, understood and agree to be bound by the terms of the Service Disclosure Statement, Investor Agreement and General Terms and Conditions that govern my/our investments through Southern Cross Partners.

We confirm the nature of the relationship between the Investor and Southern Cross Partners is that of ‘Investor’ and ‘Loan and Investment Manager’

**PERSON 1**  
Name:

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Signed:

Date:

**PERSON 2**  
Name:

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Signed:

Date:

**FOR INTERNAL USE ONLY**

Compliance  
Signature:

Date: