

Investor Application Individual



Personal Details

First name:	Middle name:	Surname:
Date of Birth: D <input type="text"/> <input type="text"/> M <input type="text"/> <input type="text"/> Y <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Preferred Name (optional):		
IRD Number: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		

Contact Details

Email address:	Phone:	Mobile	Landline
Address:	Suburb:		
City:	Country:	Postcode:	

Residency

Are you a NZ Citizen or NZ Permanent Resident? NZ Citizen NZ Permanent Resident

If not, what is your country of birth? If you are not an NZ Citizen or NZ Permanent Resident, please provide a copy of your visa

Are you a tax resident of another country? No Yes - You have an obligation to pay tax in another country

If yes, what country are you a tax resident of? Tax Identification Number (TIN):

Tax & Banking Details

Tax Deduction Rate (Please tick): 10.5% 17.5% 30% 33% 39% Non-resident Exempt

Bank Account Name:

Bank Account Number: | | |

Documents required: Proof of bank account displaying bank logo, bank account name and bank account number.

Financial Declaration

Southern Cross Partners is a reporting entity under the Anti-Money Laundering and Countering Financing of Terrorism (AML/CFT) Act 2009. The following questions are designed to assist us with our compliance obligations. More information about the Act can be found at <http://www.legislation.govt.nz/act/public/2009/0035/latest/DLM2140720.htm>

Purpose of Account: Savings Investment Retirement Other (please specify)

Have you participated in this type of investment in the past? No Yes

Investor Application – Individual

Values below are to include the total value of ALL assets held by the investor:

Approx value of all investments/cash: \$

Approx value of all property: \$

Approx value of all other assets: \$

How have you mainly acquired these assets? (tick all that apply)

Salary/Wage
 Sale of Investment Property
 Sale of home
 Interest earned on investments/savings
 Business earnings
 Dividends from shares
 Inheritance
 Other (please specify) _____

Please note: We may contact you if we seek further clarification.

Where did you hear about us? (tick all that apply)

Internet Search
 Social Media
 Newspaper
 Investor Event
 Current Investor
 Radio
 interest.co.nz
 Other (please specify) _____

Identity & Address Verification

The AML/CFT Act 2009 came into effect on 30 June 2013. Southern Cross Financial is legally required to confirm the identity of its customers and any person acting on behalf of the customer.

We have your permission to complete verification in relation to your identity and personal information, by whatever manner is most appropriate to our satisfaction. Southern Cross Partners prefers to confirm your identity biometrically via a text to your mobile device. Please note: ID needs to be a current and original Passport or Drivers Licence (NZ & Australian only).

Yes, I request Southern Cross Partners to send me a text message to verify my identity and address.

Please contact us if this is not suitable for you.

Signature

By signing this application form I confirm that I have read, understood and agree to be bound by the terms of the Service Disclosure Statement, Investor Agreement and General Terms and Conditions that govern my/our investments through Southern Cross Partners.

I confirm the nature of the relationship between the Investor and Southern Cross Partners is that of ‘Investor’ and ‘Loan and Investment Manager’

Signed:

Date:

FOR INTERNAL USE ONLY

Compliance
Signature:

Date: